

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return

सत्यमेव जयते

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L51109UP1987PLC009090

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACG6334N

(ii) (a) Name of the company

GANESHA ECOSPHERE LIMITED

(b) Registered office address

VILLEGE RAIPUR RANIA
KALPI ROAD
KANPUR DEHAT
Uttar Pradesh
209304

(c) *e-mail ID of the company

secretarial@ganeshaecosphere.com

(d) *Telephone number with STD code

05122555505

(e) Website

www.ganeshaecosphere.com

(iii) Date of Incorporation

30/10/1987

Type of the Company	Category of the Company	Sub-category of the Company
Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Limited, Mumbai	1
2	National Stock Exchange of India Limited, Mumbai	1,024

(b) CIN of the Registrar and Transfer Agent

U74899DL1995PTC071324

Pre-fill

Name of the Registrar and Transfer Agent

SKYLINE FINANCIAL SERVICES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

D-153A, FIRST FLOOR
OKHLA INDUSTRIAL AREA, PHASE-I

(vii) *Financial year From date 01/04/2018 (DD/MM/YYYY) To date 31/03/2019 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

05/09/2019

(b) Due date of AGM

30/09/2019

(c) Whether any extension for AGM granted

Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C2	Textile, leather and other apparel products	98.15

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

0

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	34,000,000	21,829,397	21,829,397	21,829,397
Total amount of equity shares (in Rupees)	340,000,000	218,293,970	218,293,970	218,293,970

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
EQUITY SHARES OF Rs. 10/- EACH				
Number of equity shares	34,000,000	21,829,397	21,829,397	21,829,397
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	340,000,000	218,293,970	218,293,970	218,293,970

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	2,150,000	0	0	0
Total amount of preference shares (in rupees)	215,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
PREFERENCE SHARES OF Rs. 100/- EACH				
Number of preference shares	2,150,000	0	0	0
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees)	215,000,000	0	0	0

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	19,176,877	191,768,770	191,768,770	

Increase during the year	2,652,520	26,525,200	26,525,200	973,474,840
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	2,652,520	26,525,200	26,525,200	973,474,840
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	21,829,397	218,293,970	218,293,970	

Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0

ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	15/09/2018		
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)		<input type="text"/>	
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			978,017,001
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			66,719,333
Deposit			0
Total			1,044,736,334

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

10,183,522,924

(ii) Net worth of the Company

4,121,958,713

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	6,144,568	28.15	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	2,556,458	11.71	0	
10.	Others	0	0	0	
	Total	8,701,026	39.86	0	0

Total number of shareholders (promoters)

22

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	3,824,709	17.52	0	
	(ii) Non-resident Indian (NRI)	67,781	0.31	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	8,715	0.04	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	3,421,025	15.67	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	5,199,512	23.82	0	
10.	Others Foreign Portfolio Investors,	606,629	2.78	0	
	Total	13,128,371	60.14	0	0

Total number of shareholders (other than promoters)

10,299

Total number of shareholders (Promoters+Public/
Other than promoters)

10,321

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year		At the end of the year	
Promoters	20		22	
Members (other than promoters)	10,864		10,299	
Debenture holders	0		0	

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

A. Promoter	4	1	3	2	12.33	10.11
B. Non-Promoter	1	6	1	6	0	0.04
(i) Non-Independent	1	0	1	0	0	0
(ii) Independent	0	6	0	6	0	0.04
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	5	7	4	8	12.33	10.15

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date 14

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SHYAM SUNDER SHAI	00530921	Director	1,938,927	
VISHNU DUTT KHAND	00383507	Whole-time directo	720,200	
SHARAD SHARMA	00383178	Managing Director	875,583	
RAJESH SHARMA	02228607	Whole-time directo	1,095,529	
GOPAL SINGH SHEKH	06591844	Whole-time directo	400	
SURENDRA KUMAR K.	01280980	Director	0	
VISHWA NATH CHANC	00313035	Director	0	
PRADEEP KUMAR GO	00404746	Director	8,321	
ANOOP GUPTA	00153340	Director	0	
ABHILASH LAL	03203177	Director	0	
NARAYANAN SUBRAM	00166621	Director	0	05/09/2019
SEEMA SHARMA	07466530	Director	269,215	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
GOPAL AGARWAL	AANPA8328E	CFO	22,433	
BHARAT KUMAR SAJN	BDGPS2831D	Company Secretar	100	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
SHYAM SUNDER SHAH	00530921	Director	18/09/2018	Change in designation
SHARAD SHARMA	00383178	CEO	18/09/2018	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETI	15/09/2018	10,567	49	30.11

B. BOARD MEETINGS

*Number of meetings held

5

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	26/05/2018	12	8	66.67
2	09/08/2018	12	10	83.33
3	31/10/2018	12	9	75
4	27/01/2019	12	5	41.67
5	09/02/2019	12	7	58.33

C. COMMITTEE MEETINGS

Number of meetings held

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1					

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	05/09/2019
								(Y/N/NA)
1	SHYAM SUNIL	5	4	80	10	0	0	Yes
2	VISHNU DUTTA	5	4	80	19	18	94.74	Yes
3	SHARAD SHARMA	5	5	100	15	15	100	Yes
4	RAJESH SHARMA	5	1	20	0	0	0	No
5	GOPAL SINGH	5	3	60	0	0	0	No
6	SURENDRA KUMAR	5	3	60	8	5	62.5	No
7	VISHWA NATH	5	4	80	8	6	75	No
8	PRADEEP KUMAR	5	5	100	20	20	100	Yes
9	ANOOP GUPTA	5	1	20	7	0	0	No
10	ABHILASH LAL	5	4	80	9	5	55.56	No
11	NARAYANAN	5	1	20	3	0	0	No
12	SEEMA SHARMA	5	4	80	0	0	0	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

5

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SHYAM SUNDER S	CHAIRMAN & M	628,833	0	0	0	628,833
2	VISHNU DUTT KH	Executive Vice C	2,172,400	8,000,000	0	0	10,172,400
3	SHARAD SHARMA	Managing Direc	1,439,600	8,000,000	0	0	9,439,600
4	RAJESH SHARMA	Executive Direct	2,179,600	8,000,000	0	0	10,179,600
5	GOPAL SINGH SHI	Director (Admini	2,269,657	0	0	0	2,269,657
	Total		8,690,090	24,000,000	0	0	32,690,090

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	GOPAL AGARWAL	CFO	2,450,400	0	0	0	2,450,400
2	BHARAT KUMAR S	Company Secre	1,081,000	0	0	0	1,081,000
	Total		3,531,400	0	0	0	3,531,400

Number of other directors whose remuneration details to be entered

8

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	PRADEEP KUMAR	Non Executive In	0	500,000	0	45,000	545,000
2	SURENDRA KUMA	Non Executive In	0	333,333	0	30,000	363,333
3	VISHWANATH CHA	Non Executive In	0	388,889	0	35,000	423,889
4	ANOOP GUPTA	Non Executive In	0	55,556	0	5,000	60,556
5	ABHILASH LAL	Non Executive In	0	388,889	0	35,000	423,889
6	NARAYANAN SUBI	Non Executive In	0	100,000	0	5,000	105,000
7	SEEMA SHARMA	Non Executive M	0	400,000	0	20,000	420,000
8	SHYAM SUNDER S	Non-Executive C	0	1,500,000	0	10,000	1,510,000
	Total		0	3,666,667	0	185,000	3,851,667

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

S.K. GUPTA

Whether associate or fellow

Associate Fellow

Certificate of practice number

1920

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

SHARAD SHARMA

DIN of the director

00383178

To be digitally signed by

BHARAT KUMAR SAJNANI

Company Secretary

Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

List of Equity Share Transfer.pdf
Details of Committee Meetings.pdf
NOTE.pdf
Copy of MGT-8.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Details of Meetings of the Committees of the Board of Directors

C. COMMITTEE MEETINGS

Number of meetings held

23

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1.	Audit Committee Meeting	26/05/2018	6	4	66.67
2.	Audit Committee Meeting	08/08/2018	6	4	66.67
3.	Audit Committee Meeting	31/10/2018	6	5	83.33
4.	Audit Committee Meeting	09/02/2019	6	4	66.67
5.	Nomination and Remuneration Committee Meeting	26/05/2018	3	2	66.67
6.	Nomination and Remuneration Committee Meeting	09/08/2018	3	3	100
7.	Nomination and Remuneration Committee Meeting	27/01/2019	3	2	66.67
8.	Nomination and Remuneration Committee Meeting	09/02/2019	3	2	66.67
9.	Stakeholders Relationship Committee Meeting	28/04/2018	4	3	75
10.	Stakeholders Relationship Committee Meeting	16/06/2018	4	3	75
11.	Stakeholders Relationship Committee Meeting	30/07/2018	4	3	75
12.	Stakeholders Relationship Committee Meeting	24/10/2018	4	3	75
13.	Stakeholders Relationship Committee Meeting	26/11/2018	4	3	75
14.	Stakeholders Relationship Committee Meeting	08/02/2019	4	3	75

For GANESHA ECOSPHERE LTD.


(BHARAT KUMAR SAJNAM)
COMPANY SECRETARY

15.	Stakeholders Relationship Committee Meeting	28/02/2019	4	3	75
16.	Corporate Social Responsibility Committee Meeting	26/05/2018	4	4	100
17.	Corporate Social Responsibility Committee Meeting	09/02/2019	4	4	100
18.	Management Committee	02/08/2018	4	3	75
19.	Management Committee	12/12/2018	4	2	50
20.	Management Committee	22/03/2019	4	3	75
21.	Capital Raising Committee	02/05/2018	5	2	40
22.	Capital Raising Committee	07/05/2018	5	2	40
23.	Capital Raising Committee	09/05/2018	5	2	40

For GANESHA ECOSPHERE LTD.


(BHARAT KUMAR SAJNANI)
COMPANY SECRETARY

GANESHA ECOSPHERE LIMITED (List of Transfer for the period 01/04/2018 to 31/03/2019)

Sr.No	Date of Registration of Transfer	Type of Transfer	Number of Shares Transferred	Amount per Share (in Rs.)	Ledger Folio of Transferor	Transferor's Name	Ledger Folio of Transferee	Transferee's Name
		1 - Equity, 2 - Preference Shares, 3- Debentures, 4 - Stock						
1	14/05/2018	1	100	10	2074	PUSHPA ANIL DOSHI	38900	ANILKUMAR MULCHAND DOSHI
2	31/05/2018	1	100	10	16406	VANDANA MAHAUR	38901	CHANDRA KANTA MAHAUR
3	31/05/2018	1	100	10	806	SHARMILA ROY KARMAKAR	38902	SANANDA ROY KARMAKAR
4	21/06/2018	1	100	10	12062	DHARMENDRA SINH VAGHELA	38905	VIJYABEN RATHOD
5	21/06/2018	1	200	10	19064	KALAWATI JAJOO	38906	POOJA JAJOO
6	21/06/2018	1	200	10	32808	ANIL KUMAR BANSAL	38904	ANIL KUMAR AGARWAL
7	30/06/2018	1	400	10	26558	SURENDRA KOTHARI	38907	RUCHI V SHAH
8	05/07/2018	1	2150	10	38903	PREETI GUPTA	38908	PRITI AGARWAL
9	07/07/2018	1	100	10	15630	KUNTI DEVI VIJAYWARGIYA	38909	PREM SHANKAR VIJAYVARGIYA
10	07/07/2018	1	100	10	31416	HARI BABU AGRAWAL	38910	HARI BABU AGRAWAL
11	24/07/2018	1	100	10	6542	CHINTAN H SHAH	38911	HEMANT JAYANTILAL SHAH
12	31/07/2018	1	300	10	4552	FATEMA MOHAMMEDALI ANWARI	38912	MAZHERALI MOHAMMEDALI ANWARI
13	31/08/2018	1	200	10	3590	MANUBHAI MANGALBHAI VANKAR	38913	MANUBHAI MANGALBHAI SOLANKI
14	10/09/2018	1	100	10	3259	JIGNA VASANTLAL RAMI	38915	VIPUL B MAKWANA
15	10/09/2018	1	200	10	20179	SANT KUMAR BHAGERIA	38917	AMIT BHAGERIA
16	10/09/2018	1	100	10	4462	RAJNIKANT JASHBHAI PATEL	38918	PRAKASHKUMAR JASHBHAI PATEL
17	10/09/2018	1	1000	10	30922	SHAHNI RANI	38919	VEENA SACHDEVA
18	10/09/2018	1	100	10	11093	CHETAN ANAND	38916	AVINASH KUMAR
19	10/09/2018	1	100	10	36725	KIRTI HARILAL SHAH	38914	KIRITKUMAR HARILAL SHAH
20	29/09/2018	1	100	10	3268	CHANDRIKA RASIKLAL PATEL	38920	RASIKLAL SHAMBHUDAS PATEL
21	29/09/2018	1	100	10	9509	UMARAM	38921	LALITHA
22	31/10/2018	1	100	10	13356	NITA PRAKASH BHAI PATEL	38924	PATEL DINESH TRIKAMLAL
23	31/10/2018	1	500	10	33109	SUBODH KUMAR	38925	BINOD KUMAR SHARMA
24	31/10/2018	1	10	10	30090	FEBIN T L	38926	LORANCE T P
25	31/10/2018	1	100	10	25567	ANU GOYAL	38923	PAWAN KUMAR BHARUKA
26	31/10/2018	1	200	10	38120	VINAYAK	38922	VINAYAK MALHOTRA
27	31/10/2018	1	100	10	25566	PAWAN KUMAR GOYAL	38923	PAWAN KUMAR BHARUKA
28	30/11/2018	1	200	10	26590	MAN MOHAN	38927	VIDYA DEVI
29	30/11/2018	1	100	10	6809	ASHOK RATILAL SHAH	38928	JAYESH R THAKKAR
30	30/11/2018	1	100	10	2671	RAM AVTAR SINGHAL	38929	AJAY JAIN
31	30/11/2018	1	100	10	5696	SHARDA WADHWA	38930	DHARMENDRA KUMAR SHUKLA
32	30/11/2018	1	100	10	1945	SAWARN CHOPRA	38931	RAKESH KUMAR SAYAL

33	30/11/2018	1	1	10	28879	SANTOSH KUMAR AGARWALLA	38932	TAPASI AGARWALLA
34	30/11/2018	1	100	10	12213	NAYANABEN MAHESH KUMAR GANDHI	38933	PRAKASH THAKORDAS GANDHI
35	07/12/2018	1	100	10	2983	PUSHPA AGAWAL	38934	PUSHPA RAJESH MITTAL
36	07/12/2018	1	100	10	14947	PRADIP KUMAR JAIN	38935	KAMAL KUMAR JAIN
37	07/12/2018	1	100	10	14172	ILABEN NAVINBHAI PATEL	38936	VIJAYBHAI JAYANTBHAI THAKKAR
38	14/12/2018	1	100	10	16545	MANISH KHANDELWAL	38937	MR VANDANA KHANDELWAL
39	31/12/2018	1	100	10	5298	ANIL KUMAR TRIPATHI	38940	ANIL KUMAR TRIPATHI
40	31/12/2018	1	200	10	30899	OM PRAKASH	38941	PANKAJ KUMAR BHARDWAJ
41	31/12/2018	1	100	10	5800	K C SHARMA	38939	SANJAY SHARMA
42	31/12/2018	1	200	10	30900	SURESH KUMAR	38938	SURESH KUMAR BHARDWAJ
43	21/01/2019	1	200	10	11185	J SASIKALA	38942	R SASIKALA
44	31/01/2019	1	100	10	18701	NISHA KANAKRAY VASA	38943	NEHA MUKESH VOHRA
45	07/02/2019	1	100	10	12726	ANJALI BHARAT KUMAR SHAH	38944	BHARAT KUMAR
46	07/02/2019	1	200	10	35405	MAHINDER SINGH WALIA	38945	SUDHIR KUMAR GUPTA
47	07/03/2019	1	100	10	7340	TRILOK KUMARI BHUTEJA	2558	PRATAP SINGH JAIN
48	07/03/2019	1	100	10	25239	JAGAT MITTAR	2558	PRATAP SINGH JAIN
49	07/03/2019	1	100	10	2714	BHAGWATI DEVI SEVADA	38946	RAM KRISHAN SEVADA
50	07/03/2019	1	100	10	13997	MOAN SWAMY N	38947	SUNDARESH S
51	07/03/2019	1	100	10	928	PRAKASH PUNJABI	38948	VIJAY VORA
52	20/03/2019	1	100	10	38943	NEHA MUKESH VOHRA	38949	ATUL KANAKRAY VASA
53	20/03/2019	1	100	10	2615	VINOD KRISHAN DUGGAL	38950	SUNIL DUGGAL
54	20/03/2019	1	500	10	28457	BALBIR KAUR	38951	SAVINDER KAUR
55	20/03/2019	1	200	10	3972	ABDUSSAMAD A SARDHAWWALA	38952	ABDULSAMAD ABDULRASUL SARDHAWWALA
56	30/03/2019	1	1000	10	20485	RAJESH V PADODE	38955	RAJESH VIJAYSINGH PADODE
57	30/03/2019	1	100	10	31407	SAROJ BALA ARVIND	38956	SHRTHAK ARVIND
58	30/03/2019	1	500	10	35197	ADITYA PRASAD	38957	VINAY TRIPATHI
59	30/03/2019	1	100	10	20365	SHILPA SURANA	38958	RAJENDRA PRASAD SURANA
60	30/03/2019	1	100	10	38668	RATAN PRAKASH AGARWAL	38687	SANJAY KUMAR SHARMA
61	30/03/2019	1	100	10	37965	PRADEEP TRIPATHI	38687	SANJAY KUMAR SHARMA
62	30/03/2019	1	100	10	38662	MAN MOHAN GUPTA	38687	SANJAY KUMAR SHARMA
63	30/03/2019	1	100	10	38665	PRAVEEN KUMAR SAXENA	38687	SANJAY KUMAR SHARMA
64	30/03/2019	1	100	10	38786	KAMAL SINGH RAWAT	38687	SANJAY KUMAR SHARMA
65	30/03/2019	1	100	10	38667	PRAMOD KHANDELWAL	38687	SANJAY KUMAR SHARMA
66	30/03/2019	1	100	10	38661	RAMA KANT KHANDAL	38687	SANJAY KUMAR SHARMA
67	30/03/2019	1	100	10	38660	RAJENDRA PRASAD SRIVASTAVA	38687	SANJAY KUMAR SHARMA
68	30/03/2019	1	100	10	38264	KRISHNA KANT UPADHYAYA	38687	SANJAY KUMAR SHARMA
69	30/03/2019	1	100	10	37967	MUKESH KUMAR SHARMA	38687	SANJAY KUMAR SHARMA
70	30/03/2019	1	100	10	37971	VISHAL SRIVASTAVA	38687	SANJAY KUMAR SHARMA
71	30/03/2019	1	100	10	38664	PRADEEP KUMAR NIGAM	38687	SANJAY KUMAR SHARMA
72	30/03/2019	1	100	10	37972	VINEET SACHAN	38687	SANJAY KUMAR SHARMA
73	30/03/2019	1	100	10	19443	PRAMOD KUMAR TRIVEDI	38687	SANJAY KUMAR SHARMA
74	30/03/2019	1	100	10	38670	APARNA LADIA	38687	SANJAY KUMAR SHARMA
75	30/03/2019	1	100	10	38671	KAMAL KUMAR JAIN	38959	KAMAL KUMAR JAIN

76	30/03/2019	1	2	10	38784	SUNIT KUMAR	38960	MAHESH CHANDRA MAHESHWARI
77	30/03/2019	1	2	10	38784	SUNIT KUMAR	37971	VISHAL SRIVASTAVA
78	30/03/2019	1	2	10	38784	SUNIT KUMAR	38961	MANISH SHARMA
79	30/03/2019	1	2	10	38784	SUNIT KUMAR	38962	DHEER SINGH
80	30/03/2019	1	2	10	38784	SUNIT KUMAR	38963	PRADEEP KUMAR MISHRA
81	30/03/2019	1	2	10	38784	SUNIT KUMAR	38964	AMIT KUMAR VERMA
82	30/03/2019	1	2	10	38784	SUNIT KUMAR	38965	RAM DATT
83	30/03/2019	1	2	10	38784	SUNIT KUMAR	38966	JITENDRA KUMAR
84	30/03/2019	1	2	10	38784	SUNIT KUMAR	38967	MANISH KUMAR SRIVASTAVA
85	30/03/2019	1	2	10	38784	SUNIT KUMAR	38968	RAGHAV AGARWAL
86	30/03/2019	1	2	10	38784	SUNIT KUMAR	38969	MUSKAN MAGGO
87	30/03/2019	1	2	10	38784	SUNIT KUMAR	38970	GARIMA GUPTA
88	30/03/2019	1	2	10	38784	SUNIT KUMAR	38971	VEENA BAJPAI
89	30/03/2019	1	5	10	38784	SUNIT KUMAR	38666	AVINASH SRIVASTAVA
90	30/03/2019	1	5	10	38784	SUNIT KUMAR	37972	VINEET SACHAN
91	30/03/2019	1	5	10	38784	SUNIT KUMAR	19443	PRAMOD KUMAR TRIVEDI
92	30/03/2019	1	5	10	38784	SUNIT KUMAR	38264	KRISHNA KANT UPADHYAYA
93	30/03/2019	1	2	10	38784	SUNIT KUMAR	37967	MUKESH KUMAR SHARMA
94	30/03/2019	1	2	10	38784	SUNIT KUMAR	38660	RAJENDRA PRASAD SRIVASTAVA
95	30/03/2019	1	2	10	38784	SUNIT KUMAR	38661	RAMA KANT KHANDAL
96	30/03/2019	1	2	10	38784	SUNIT KUMAR	38662	MAN MOHAN GUPTA
97	30/03/2019	1	2	10	38784	SUNIT KUMAR	38664	PRADEEP KUMAR NIGAM
98	30/03/2019	1	2	10	38784	SUNIT KUMAR	38665	PRAVEEN KUMAR SAXENA
99	30/03/2019	1	2	10	38784	SUNIT KUMAR	38667	PRAMOD KHANDELWAL
100	30/03/2019	1	2	10	38784	SUNIT KUMAR	38668	RATAN PRAKASH AGARWAL
101	30/03/2019	1	2	10	38784	SUNIT KUMAR	38786	KAMAL SINGH RAWAT
102	30/03/2019	1	2	10	38784	SUNIT KUMAR	38972	SURENDRA KUMAR TRIPATHI
103	30/03/2019	1	2	10	38784	SUNIT KUMAR	38973	DEEPAK SRIVASTAVA
104	30/03/2019	1	2	10	38784	SUNIT KUMAR	38974	PUNIT TIWARI
105	30/03/2019	1	2	10	38784	SUNIT KUMAR	38975	SANDEEP SRIVASTAVA
106	30/03/2019	1	2	10	38784	SUNIT KUMAR	38976	VIVEK KUMAR DWIVEDI
107	30/03/2019	1	2	10	38784	SUNIT KUMAR	38977	SUDHIR KUMAR
108	30/03/2019	1	2	10	38784	SUNIT KUMAR	38978	MOINUDDIN
109	30/03/2019	1	300	10	25023	ASHOK KUMAR SHARDA	38954	MANJU SHARDA
110	30/03/2019	1	100	10	34919	SUDHA RAGHUNATH MARATHE	38979	SUDHA RAGHUNATH MARATHE
111	30/03/2019	1	100	10	18327	MANJU PODDAR	38953	MANJU DEVI PODDAR



GANESHA ECOSPHERE LIMITED

Note:

Number of Promoters & Members (other than promoters) as at the end of Financial Year 31.03.2019 is disclosed in the Form MGT-7 as per the Register of Members as at that date, **without PAN consolidation**. However, in the shareholding pattern filed to the stock exchanges for the quarter ended 31.03.2019, the same was disclosed **with PAN consolidation** of data as required under the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as given below:

Particulars	As disclosed in the shareholding pattern filed to the stock exchanges	As disclosed in the Form MGT-7
Number of Promoters	17	22
Number of Members (other than promoters)	10129	10299
Total	10146	10321

For GANESHA ECOSPHERE LTD.


(BHARAT KUMAR SAJJANI)
COMPANY SECRETARY

FORM NO. MGT-8
ANNUAL RETURN CERTIFICATE

[Pursuant to Section 92 (2) of the Companies Act, 2013 and Rule 11 (2) of the Companies (Management and Administration) Rules, 2014]

We have examined the registers, records and books and papers of **GANESHA ECOSPHERE LIMITED** (the 'Company') as required to be maintained under the Companies Act, 2013 (the 'Act') and the Rules made thereunder for the Financial Year ended on 31st March, 2019. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the Company, its officers and agents, we certify that:

- A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year, the Company has complied with the provisions of the Act and the Rules made thereunder in respect of the matters as stated below:
 1. The Company maintained the status of a Listed Public Company within the meaning of Section 2 (71) read with Section 2(52) of the Companies Act, 2013.
 2. The Company has maintained the registers / records as stated in **Annexure 'A'** to this Certificate, as per the provisions of the Act and the Rules made



thereunder and the entries therein have been duly recorded within the time prescribed therefor.

3. The Company has filed Forms and Returns with the Registrar of Companies, Uttar Pradesh, Regional Director, Central Government, the Tribunal, Court or other Authorities under the Act and the Rules made thereunder the details whereof are stated in **Annexure –‘B’** to this Certificate.
- 4.(i) The Board of Directors duly met 5 (Five) times respectively on 26th May, 2018, 9th August, 2018, 31st October, 2018, 27th January, 2019 and 9th February, 2019 as stated in the Annual Return and in respect of such meetings proper notices were given and the proceedings were properly recorded in the Minutes Book maintained for the purpose which have been duly signed including circular resolution(s) passed by the Board during the financial year under review.
- (ii) The Board of Directors has constituted 7 (Seven) Committees namely Audit Committee, Nomination & Remuneration Committee, Stakeholders Relationship Committee, Corporate Social Responsibility Committee, Management Committee, Capital Raising Committee and Risk Management & Strategic Planning Committee (constituted on 9th August, 2018) pursuant to provisions of the Companies Act, 2013 read with Rules made thereunder and the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.
- (iii) The Audit Committee of the Board met 4 (Four) times respectively on 26th May, 2018, 8th August, 2018, 31st October, 2018 and 9th February, 2019 as stated in



the Annual Return and in respect of such meetings proper notices were given and the proceedings thereof were properly recorded in the Minutes Book maintained for the purpose which have been duly signed.

- (iv) The Nomination & Remuneration Committee of the Board met 4 (Four) times respectively on 26th May, 2018, 9th August, 2018, 27th January, 2019 and 9th February, 2019 as stated in the Annual Return and in respect of such meetings proper notices were given and the proceedings thereof were properly recorded in the Minutes Book maintained for the purpose which have been duly signed.
- (v) Stakeholders Relationship Committee of the Board met 7 (Seven) times respectively on 28th April, 2018, 16th June, 2018, 30th July, 2018, 24th October, 2018, 26th November, 2018, 8th February, 2019 and 28th February, 2019 as stated in the Annual Return and in respect of such meetings proper notices were given and the proceedings thereof were properly recorded in the Minutes Book maintained for the purpose which have been duly signed.
- (vi) The Corporate Social Responsibility Committee of the Board met 2(Two) times respectively on 26th May, 2018 and 9th February, 2019as stated in the Annual Return and in respect of such meetings proper notices were given and the proceedings thereof were properly recorded in the Minutes Book maintained for the purpose which have been duly signed.
- (vii) The Management Committee of the Board met 3 (Three) times respectively on 2nd August, 2018, 12th December, 2018 and 22nd March, 2019 as stated in the Annual Return and in respect of such meetings proper notices were given and



the proceedings thereof were properly recorded in the Minutes Book maintained for the purpose which have been duly signed.

- (viii) The Capital Raising Committee of the Board met 3 (Three) times respectively on 2nd May, 2018, 7th May, 2018 and 9th May, 2018 and in respect of such meeting proper notice was given and the proceedings thereof was properly recorded in the Minutes Book maintained for the purpose which have been duly signed.
- (ix) The Risk Management & Strategic Planning Committee of the Board was constituted on 9th August, 2018. However, no Meeting of the Committee was held during the Financial year under review.
- (x) The Annual General Meeting for the Financial year ended on 31st March, 2018 was held on 15th September, 2018 after giving due notice to the Members of the Company and the proceedings thereof were properly recorded in the Minutes Book maintained for the purpose which have been duly signed. However, no resolution was passed by Postal Ballot during the financial year. No Extraordinary General Meeting was held during the year under review.
5. The Company has closed its Register of Members from 11th September, 2018 to 15th September, 2018 and necessary compliance of provisions of Section 91 of the Companies Act, 2013 has been made.
6. The Company has not given advances / loans to its Directors or persons or Firms or Companies referred to under Section 185 of the Act.



7. The Company has duly complied with the provisions of Section 188 of the Act in respect of contracts / arrangements with related parties specified in that section.
8. The Company has:
- (i) issued and allotted 26,52,520 Equity shares of Rs. 10/- each at a price of Rs. 377/- per share (inclusive of premium of Rs. 367/- per share) on 9th May, 2018 to Qualified Institutional Buyers on preferential basis in terms of Offer Letter dated 2nd May, 2018. The Company has not issued debentures or other securities during the financial year.
 - (ii) delivered all the Certificates on lodgement thereof for Transfer and Transmission of Securities during the financial year under scrutiny.
 - (iii) not bought back any shares or other securities during the financial year;
 - (iv) not redeemed any Preference Shares / Debentures during the Financial year;
 - (v) has not altered or reduced its share capital and has not converted its shares / securities during the financial year.
9. There were no transactions necessitating the Company to keep in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares.



10. The Company has:

- (i) declared Dividend @ 15% on Equity Shares of Rs. 10/- each during the year under scrutiny;
- (ii) deposited the amount of Dividend declared in a separate Bank Account within the period of five days from the date of declaration of dividend.
- (iii) paid / posted warrants for dividend to all the Members within the prescribed period of thirty days from the date of declaration of dividend and that all unpaid / unclaimed dividend requiring transfer to Unpaid Dividend Account of the Company has been kept in a separate Bank Account maintained with YesBank Limited.
- (iv) transferred the amount of dividend which has remained unclaimed or unpaid for a period of seven years to Investor Education and Protection Fund in accordance with Section 124 of the Companies Act, 2013 during the Financial year under review.

11. The Financial Statements of the Company for the Financial year ended 31st March, 2019 have been signed in accordance with the provisions of Section 134(1) of the Companies Act, 2013 and the Report of Board of Directors of the Company has been prepared in compliance with the provisions of sub - sections (3) and (5) of Section 134 of the said Act.



12. (i) The Board of Directors of the Company has been duly constituted. There was no appointment of Additional Directors, Alternate Directors and Directors to fill casual vacancy during the financial year. However, the re-appointment of a retiring Director at the Annual General Meeting has been made in compliance with the provisions of the Act. The Directors have made requisite disclosures as required under the Act and the Rules framed thereunder.
- (ii) The Company has re-appointed Shri Vishnu Dutt Khandelwal, Shri Rajesh Sharma and Shri Gopal Singh Shekhavat, Whole time Directors of the Company for a period of 5 (Five) years at the Board Meeting held on 26th May, 2018 and subsequently their appointments were approved by the Members at the Annual General Meeting held on 15th September, 2018 in compliance with the provisions of the Companies Act, 2013 and the Rules made thereunder.
- (iii) Shri Shyam Sunder Sharmma, Chairman and Managing Director of the Company was re-designated as Non- Executive Chairman of the Company and Shri Sharad Sharma, Joint Managing Director was elevated to the position of Managing Director and CEO of the Company with effect from 18th September, 2018 which was approved at the Board Meeting held on 9th August, 2018 in compliance with the provisions of the Companies Act, 2013 read with rules made thereunder during the financial year under review.
- (iv) The Company at the Annual General Meeting held on 15th September, 2018 has accorded consent for continuation of appointment of Shri Shyam Sunder Sharmma as Non-Executive Director and Chairman and Shri Vishwanath Chandak as Non-Executive and Independent Director of the Company who have already attained the age of Seventy Five years pursuant to Regulation



17(1A) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 including amendments made thereto and other applicable provisions, if any, of the Companies Act, 2013 read with rules framed thereunder.

- (v) Shri Sharad Sharma, Managing Director of the Company was re-appointed for a further period of five years with effect from 1st February, 2019 which was approved at the Board Meeting held on 27th January, 2019 during the Financial year under review.
- (vi) Except as mentioned above, there was no appointment of Key Managerial Personnel under the provisions of Section 203 of the Act read with Rule 8 of the Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014 during the year under review.
13. The Company has made appointment of Statutory Auditors at the 28th Annual General Meeting of the Company held on 25th September, 2017 to hold office for a period of five years from the conclusion of 28th Annual General Meeting until the conclusion of the 33rd Annual General Meeting of the Company subject to ratification of their appointment by the members at every Annual General Meeting of the Company in compliance with the provisions of Section 139 of the Act read with the Companies (Audit and Auditors) Rules, 2014. However, during the year under review, the Company has ratified the appointment of Statutory Auditors for the period from the conclusion of 29th Annual General Meeting held on 15th September, 2018 until the conclusion of the next Annual General Meeting in compliance with the provisions of the Companies Act, 2013 and the rules made thereunder.



14. The Company was not required to obtain any approvals of the Company Law Board / Tribunal, Central Government, Regional Director, Registrar or such other Authorities prescribed under various provisions of the Companies Act, 2013 during the financial year.
15. The Company has not accepted or renewed any deposits falling within the purview of Section 73 and 76 of the Act read with the Companies (Acceptance of Deposits) Rules, 2014 during the financial year.
16. The amount borrowed by the Company from Directors, Members, Public Financial Institutions, Banks and others during the Financial year is within the borrowing limits of the Company as approved by the special resolution passed by Members under Section 180(1)(c) of the Act at the Annual General Meeting held on 29th September, 2014. There were no creation of charge except modification and satisfaction of charges during the financial year and the particulars whereof were filed in compliance with the provisions of the Act.
17. The Company has not given any loans and guarantees or provided securities to other Bodies Corporate or persons falling under the provisions of Section 186 of the Act during the financial year under review. However, the Company has made investments in Mutual Funds in compliance with the provisions of the Act.



18. The Company has not altered any clause in the Memorandum and Articles of Association of the Company during the financial year under review.

For **S.K.Gupta & Co.**
Company Secretaries



(S.K.GUPTA)

Managing Partner

F.C.S - 2589

C.P.No.-1920

UDIN No.F002589A000196783

Place: Kanpur

Date: 2nd November, 2019

ANNEXURE 'A'
Registers as maintained by the Company

1. Register of Members u/s 88 of the Companies Act, 2013 read with Rule 3 of the Companies (Management and Administration) Rules, 2014.
2. Register of Directors and Key Managerial Personnel and their Shareholding u/s 170 of the Companies Act, 2013 read with Rule 17 of the Companies (Appointment and Qualification of Directors) Rules, 2014.
3. Register of Contracts or Arrangements in which Directors are interested u/s 189 of the Companies Act, 2013 read with Rule 16 of the Companies (Meetings of Board and its Powers) Rules, 2014.
4. Minutes of the proceedings of the General Meetings, Board Meetings and Committee Meetings u/s 118 of the Companies Act, 2013 read with Rule 25 of the Companies (Management and Administration) Rules, 2014.
5. Register of Charges u/s 85 of the Companies Act, 2013 read with Rule 10 of the Companies (Registration of Charges) Rules, 2014.
6. Attendance Register for the Meetings of the Board of Directors and Committees thereof.
7. Attendance Register for the Meetings of the Shareholders.
8. Register of Duplicate / Split Share Certificates.
9. Register of loans, guarantee, security and acquisition made by the company maintained u/s 186 of the Companies Act, 2013 read with Rule 12(1) of the Companies (Meetings of Board and its Powers) Rules, 2014.



ANNEXURE 'B'

Forms and Returns as filed by the Company during the financial year ended 31st March, 2019

- With Registrar of Companies, Uttar Pradesh

Sl. No.	Forms	Purpose	Date of Actual filing	Whether filed in time or not Yes/ No
1.	Form No. MGT 14 (Registration of Resolution passed by Management Committee of the Board of Directors of the Company to avail the credit facilities from Yes Bank Limited)	U/s 179(3) of the Companies Act, 2013 dt. 17.03.2018.	13.04.2018	Yes
2.	Form No. CHG 1 (Creation of Charge)	U/s 77/79 of the Companies Act, 2013 read with Rule 3(1) of the Companies (Registration of Charges) Rules, 2014 dated 17.03.2018.	14.04.2018	Yes



3.	Form No. IEPF 6 (Statement of unclaimed amounts to be transferred to IEPF)	U/s 125 of the Companies Act, 2013 read with Rule 8 of the Investor Education and Protection Fund Authority (Accounting, Audit, transfer and Refund) Rules, 2016 dt.31.03.2018	27.04.2018	Yes
4.	Form No. GNL-2 (Record of a private placement offer to be kept in Form PAS 5)	U/s 42(7) of the Companies Act, 2013 read with Rule 14(3) of the Companies (Prospectus and Allotment of Securities) Rules, 2014 dated 02.05.2018	31.05.2018	Yes
5.	Form No. GNL-2 (Preliminary Placement Document containing Private placement offer letter in Form PAS 4 dated 02.05.2018)	U/s 42(7) of the Companies Act, 2013 read with Rule 14(3) of the Companies (Prospectus and Allotment of Securities) Rules, 2014 dated 02.05.2018	31.05.2018	Yes



6.	Form No. GNL-2 (Placement Document containing Private placement offer letter in Form PAS 4 dated 07.05.2018)	U/s 42(7) of the Companies Act, 2013 read with Rule 14(3) of the Companies (Prospectus and Allotment of Securities) Rules, 2014 dated 07.05.2018	31.05.2018	Yes
7.	Form No. PAS 3 (Issue and Allotment of 26,52,520 Equity Shares of Rs. 10/- each at a premium of Rs. 367/- per share in terms of Qualified Institutional Placement)	U/s 39(4) of the Companies Act, 2013 and Rule 12 and 14 Companies (Prospectus and Allotment of Securities) Rules, 2014 dated 09.05.2018	06.06.2018	Yes
8.	Form No. CHG 4 (Satisfaction of Charge)	U/s 82 of the Companies Act, 2013 read with Rule 8 of the Companies (Registration of Charges) Rules, 2014 dated 05.06.2018	12.06.2018	Yes



9.	Form No. MGT 14 (Registration of Board Resolution for Approval of Annual Financial Statements for Financial Year 2017-18)	U/s 179(3) of the Companies Act, 2013 dt. 26.05.2018.	18.06.2018	Yes
10.	Form No. MR-1 (Re-appointment of Shri Gopal Singh Shekhavat as Whole Time Director of the Company for a period of 5 years w.e.f 01.06.2018)	U/s 196 and 197 read with Schedule V of the Companies Act, 2013 and Rule 3 of the Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014 dated 26.05.2018	11.08.2018	No
11.	Form No. MR 1 (Re-appointment of Shri Rajesh Sharma as Whole Time Director of the Company for a period of 5 years w.e.f 19.06.2018)	U/s 196 and 197 read with Schedule V of the Companies Act, 2013 and Rule 3 of the Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014 dated	11.08.2018	Yes



		26.05.2018		
12.	Form No. MR-1 (Re-appointment of Shri Vishnu Dutt Khandelwal as Whole Time Director of the Company for a period of 5 years w.e.f.19.06.2018)	U/s 196 and 197 read with Schedule V of the Companies Act, 2013 and Rule 3 of the Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014 dated 26.05.2018	11.08.2018	Yes
13.	Form No. MGT 14 (Registration of resolution passed by Management Committee of Board of Directors to borrow and avail of enhanced credit facilities from SBI Consortium)	U/s 179(3) of the Companies Act, 2013 dated 02.08.2018.	31.08.2018	Yes
14.	Form No. CHG 1 (Modification of Charge)	U/s 77/79 of the Companies Act, 2013 read with Rule 3(1) of the Companies (Registration of Charges) Rules, 2014	31.08.2018	Yes

		dated 02.08.2018		
15.	<p>Form No. MGT 14 (Registration of Board Resolutions for:</p> <ul style="list-style-type: none">• Re-designation of Shri Sharad Sharma as Managing Director and CEO w.e.f18.09.2018• Approval of Board's Report for the Financial Year 2017-2018• To obtain secured interest free loan from Pradeshiya Industrial & Investment Corporation of Uttar Pradesh Limited under IIPS, 2012 for Company's Raipur (Rania) Unit for FY	U/s 117 and 179(3) of the Companies Act, 2013 dated 09.08.2018.	07.09.2018	Yes



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Company Secretaries

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	<p>2015-16.</p> <ul style="list-style-type: none">• To obtain secured interest free loan from Pradeshiya Industrial& Investment Corporation of Uttar Pradesh Limited under IIPS, 2012 for Company's Bilaspur (U.P.) Unit for FY 2014-2015.• To obtain interest free loan from Pradeshiya Industrial& Investment Corporation of Uttar Pradesh Limited under IIPS, 2012 for Company's Bilaspur (U.P.) unit for FY 2015-2016)			
16.	Form MGT 15 (Report on Annual General Meeting)	U/s 121(1) of the Companies Act, 2013 read with Rule 31(2) of	21.09.2018	Yes



		the Companies (Management and Administration) Rules, 2014 dated 15.09.2018		
17.	Form No. MGT 14 (Registration of Special Resolutions passed at Annual General Meeting held on 15.09.2018 for: <ul style="list-style-type: none">• Re-appointment of Shri Vishnu Dutt Khandelwal as Whole time Director of the Company for a period of 5 years.• Re-appointment of Shri Rajesh Sharma as Whole time Director of the Company for a period of 5 years.• Re-appointment of Shri Gopal Singh Shekhavat as Whole time Director of the	U/s 117 and 62(1)(b)of the Companies Act, 2013 and Regulation 17(1A) of the SEBI (LODR) Regulations, 2015 dt. 15.09.2018.	10.10.2018	Yes



<p>Company for a period of 5 years.</p> <ul style="list-style-type: none">• Continuation of office of Shri Shyam Sunder Sharmma as Non-Executive Director after expiry of his present term as Managing Director on 17.09.2018 notwithstanding that he has attained the age of 75 years.• Continuation of office of Shri Vishwa Nath Chandak (Age: 80 years)as Non-Executive Independent Director w.e.f 01.04.2019 upto the conclusion of 30th Annual General Meeting• Approval of Members for "Ganesh Ecosphere Employee Stock Option Plan			
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	2018")			
18.	Form No. DIR 12 (Change in Designation of Shri Shyam Sunder Sharmma as Non Executive Director and Chairman and Shri Sharad Sharma re-designated as Managing Director and CEO of the Company)	U/s 170(2) read with Sections 152 and 203 of the Companies Act, 2013 and the Companies (Appointment and Qualifications of Directors) Rules, 2014 dated 18.09.2018	12.10.2018	Yes
19.	Form No. IEPF – 7 (Statement of amount of Dividend on Shares transferred to IEPF)	Under Rule 6(13) of the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 dated 19.09.2018	17.10.2018	Yes
20.	Form No. IEPF 2 (Statement of unclaimed and unpaid amounts)	Under Rule 5(8) of the Investor Education and Protection Fund Authority (Accounting,	12.12.2018	Yes



		Audit, Transfer and Refund) Rules, 2016 dt. 15.09.2018		
21.	Form No. IEPF 1 (Statement of amounts credited to Investor Education and Protection Fund)	U/s 125 of the Companies Act, 2013 read with Rule 5(4) of the Investor Education and Protection Fund Authority (Accounting, Audit, transfer and Refund) Rules, 2016 dated 27.11.2018	14.12.2018	Yes
22.	Form No. IEPF 4 (Statement of 33,514 Equity Shares transferred to IEPF)	Under Rule 6(5) of the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016	19.12.2018	Yes
23.	Form No. MGT 7 (Annual Return)	U/s 92 of the Companies Act, 2013 read with Rule 11 of the Companies (Management and Administration) Rules,	24.12.2018	Yes



		2014 dt. 31.03.2018		
24.	Form No. AOC-4 XBRL (Financial Statement and other documents)	U/s Section 137 of the Companies Act, 2013 read with Rule 12 (2) of Companies (Accounts) Rules, 2014 dated 31.03.2018	01.01.2019	No
25.	Form No. MGT 14 (Registration of Board Resolution for: • Re-appointment of Shri Sharad Sharma as Managing Director for a period of 5 years w.e.f. 01.02.2019 • To invest surplus funds of Company from time to time, in various shares, debentures or securities of other body corporate, including scheme of	U/s 117 and 179(3) of the Companies Act, 2013 dt.27.01.2019.	25.02.2019	Yes



	Mutual Fund etc.)			
26.	<p>Form No. MGT 14 (Registration of Board Resolution for:</p> <ul style="list-style-type: none">• Appointment of Internal Auditors for the financial year 2019-2020.• Appointment of Secretarial Auditor for the financial year 2018-2019.• To invest surplus funds of the Company from time to time, in various fixed deposit scheme of HDFC Limited upto an amount of Rs. 50.00 Crores)	<p>U/s 179(3) of the Companies Act, 2013 dt.09.02.2019.</p>	<p>27.02.2019</p>	<p>Yes</p>



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27.	Form No. MR-1 (Re-appointment of Shri Sharad Sharma as Managing Director for a period of 5 years w.e.f. 01.02.2019.)	U/s 196 and 197 read with Schedule V of the Companies Act, 2013 and Rule 3 of the Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014 dt. 01.02.2019.	27.02.2019	Yes
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- With Regional Director

NIL

- With Central Government or other Authorities

Sl. No.	Forms	Purpose	Date of Actual filing	Whether filed in time or not Yes/ No
1.	Form CRA-2 (Intimation of Appointment of Cost Auditor for the F.Y. 2018-19)	U/s 148(3) of the Companies Act, 2013 and Rule 6 of the Companies (Cost Records and Audit) Rules, 2014 dt. 26.05.2018	12.06.2018	Yes



2.	Form CRA 4 (Cost Audit Report for FY 2017-2018)	U/s 148(6) of the Companies Act, 2013 and Rule 6 of the Companies (Cost Records and Audit) Rules, 2014 dated 27.09.2018	26.10.2018	Yes
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Place: Kanpur
Date: 2nd November, 2019

For **S.K.Gupta & Co.**
Company Secretaries



(S.K.GUPTA)
Managing Partner
F.C.S - 2589
C.P.No.-1920
UDIN No. F002589A000196783