

# FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



# Annual Return

Form language  English  Hindi

Refer the instruction kit for filing the form.

## I. REGISTRATION AND OTHER DETAILS

(i) \* Corporate Identification Number (CIN) of the company

L51109UP1987PLC009090

Pre-fill

Global Location Number (GLN) of the company

\* Permanent Account Number (PAN) of the company

AAACG6334N

(ii) (a) Name of the company

GANESHA ECOSPHERE LIMITED

(b) Registered office address

VILLEGE RAIPUR RANIA  
KALPI ROAD  
KANPUR DEHAT  
Uttar Pradesh  
209304

(c) \*e-mail ID of the company

bharat@ganeshaecosphere.co

(d) \*Telephone number with STD code

05122555505

(e) Website

www.ganeshaecosphere.com

(iii) Date of Incorporation

30/10/1987

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes  No

(vi) \*Whether shares listed on recognized Stock Exchange(s)

Yes  No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
	BSE Limited, Mumbai	1
	National Stock Exchange of India Limited, Mumbai	1,024

(b) CIN of the Registrar and Transfer Agent

U74899DL1995PTC071324

Pre-fill

Name of the Registrar and Transfer Agent

SKYLINE FINANCIAL SERVICES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

D-153A, FIRST FLOOR  
OKHLA INDUSTRIAL AREA, PHASE-I

(vii) \*Financial year From date 01/04/2017 (DD/MM/YYYY) To date 31/03/2018 (DD/MM/YYYY)

(viii) \*Whether Annual general meeting (AGM) held  Yes  No

(a) If yes, date of AGM

15/09/2018

(b) Due date of AGM

30/09/2018

(c) Whether any extension for AGM granted

Yes  No

## II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

\*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C2	Textile, leather and other apparel products	96.68

## III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

\*No. of Companies for which information is to be given

0

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

## IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) \*SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of equity shares	34,000,000	19,176,877	19,176,877	19,176,877
Total amount of equity shares (in Rupees)	340,000,000	191,768,770	191,768,770	191,768,770

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
EQUITY SHARES OF RS. 10/ EACH				
Number of equity shares	34,000,000	19,176,877	19,176,877	19,176,877
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	340,000,000	191,768,770	191,768,770	191,768,770

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	2,150,000	0	0	0
Total amount of preference shares (in rupees)	215,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
PREFERENCE SHARES OF RS. 100/ EACH				
Number of preference shares	2,150,000	0	0	0
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees)	215,000,000	0	0	0

(c) Unclassified share capital

Particulars	Authorised share
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Reserve surplus	Paid-up amount	Account balance
Equity shares				
At the beginning of the year	19,176,877	191,768,770	191,768,770	

<b>Increase during the year</b>	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights Issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify				
<b>Decrease during the year</b>	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
<b>At the end of the year</b>	19,176,877	191,768,770	191,768,770	
<b>Preference shares</b>				
<b>At the beginning of the year</b>	0	0	0	
<b>Increase during the year</b>	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify				
<b>Decrease during the year</b>	0	0	0	0
i. Redemption of shares	0	0	0	0

ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify				
<b>At the end of the year</b>	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) \*

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	25/09/2017		
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			

Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee	<input type="text"/>		
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Date of registration of transfer (Date Month Year) <input type="text"/>			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor	<input type="text"/>		
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee	<input type="text"/>		
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) \*Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			1,837,051,748
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			149,874,804
Deposit			0
<b>Total</b>			<b>1,986,926,552</b>

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
<b>Total</b>					

V. \*Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

7,531,291,093

(ii) Net worth of the Company

2,560,819,569

VI. (a) \*SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	6,143,935	32.04	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	2,552,001	13.31	0	
10.	Others	0	0	0	
	<b>Total</b>	8,695,936	45.35	0	0

**Total number of shareholders (promoters)**

20

**(b) \*SHARE HOLDING PATTERN - Public/Other than promoters**

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	3,963,711	20.67	0	
	(ii) Non-resident Indian (NRI)	73,213	0.38	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	7,504	0.04	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	1,377,475	7.18	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	4,494,865	23.44	0	
10.	Others          Alternate Investment Fund:	564,173	2.94	0	
	<b>Total</b>	10,480,941	54.65	0	0

Total number of shareholders (other than promoters)

10,864

Total number of shareholders (Promoters+Public/  
Other than promoters)

10,884

**VII. \*NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS  
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	20	20
Members (other than promoters)	12,840	10,864
Debenture holders	0	0

**VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**(A) \*Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

<b>A. Promoter</b>	4	1	4	1	24.15	1.4
<b>B. Non-Promoter</b>	1	6	1	6	0	0
(i) Non-Independent	1	0	1	0	0	0
(ii) Independent	0	6	0	6	0	0
<b>C. Nominee Directors representing</b>	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
<b>Total</b>	<b>5</b>	<b>7</b>	<b>5</b>	<b>7</b>	<b>24.15</b>	<b>1.4</b>

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

14

(B) (i) \*Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SHYAM SUNDER SHAH	00530921	Managing Director	1,938,927	
VISHNU DUTT KHAND	00383507	Whole-time director	720,200	
SHARAD SHARMA	00383178	Managing Director	875,583	
RAJESH SHARMA	02228607	Whole-time director	1,095,529	
GOPAL SINGH SHEKH	06591844	Whole-time director	400	
SURENDRA KUMAR K	01280980	Director	0	
VISHWA NATH CHAND	00313035	Director	0	
PRADEEP KUMAR GO	00404746	Director	0	
ANOOP GUPTA	00153340	Director	0	
ABHILASH LAL	03203177	Director	0	
NARAYANAN SUBRAM	00166621	Director	0	
SEEMA SHARMA	07466530	Director	269,215	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
GOPAL AGARWAL	AANPA8328E	CFO	18,433	
BHARAT KUMAR SAJN	BDGPS2831D	Company Secretar	100	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

0

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)

## IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

### A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETI	25/09/2017	13,058	57	40.07

### B. BOARD MEETINGS

\*Number of meetings held

4

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	22/05/2017	12	11	91.67
2	12/08/2017	12	8	66.67
3	09/11/2017	12	11	91.67
4	13/02/2018	12	9	75

### C. COMMITTEE MEETINGS

Number of meetings held

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1					

**D. \*ATTENDANCE OF DIRECTORS**

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	15/09/2018
								(Y/N/NA)
1	SHYAM SUNI	4	3	75	14	11	78.57	Yes
2	VISHNU DUT	4	4	100	16	15	93.75	Yes
3	SHARAD SHA	4	4	100	12	12	100	Yes
4	RAJESH SHA	4	3	75	0	0	0	No
5	GOPAL SINGI	4	1	25	0	0	0	No
6	SURENDRA K	4	4	100	12	7	58.33	No
7	VISHWA NAT	4	4	100	6	6	100	No
8	PRADEEP KU	4	4	100	23	23	100	Yes
9	ANOOP GUP	4	3	75	5	3	60	No
10	ABHILASH LA	4	3	75	8	5	62.5	No
11	NARAYANAN	4	2	50	1	0	0	No
12	SEEMA SHAF	4	4	100	0	0	0	No

**X. \*REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

5

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SHYAM SUNDER S	CHAIRMAN & M	2,734,600	4,500,000	0	0	7,234,600
2	VISHNU DUTT KH	Executive Vice C	1,232,400	6,000,000	0	0	7,232,400
3	SHARAD SHARMA	Joint Managing I	1,239,600	6,000,000	0	0	7,239,600
4	RAJESH SHARMA	Executive Direct	1,239,600	6,000,000	0	0	7,239,600
5	GOPAL SINGH SHI	Director (Admin	1,999,657	0	0	0	1,999,657
	Total		8,445,857	22,500,000	0	0	30,945,857

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	GOPAL AGARWAL	CFO	2,075,400	0	0	0	2,075,400
2	BHARAT KUMAR S	Company Secre	873,000	0	0	0	873,000
	Total		2,948,400	0	0	0	2,948,400

Number of other directors whose remuneration details to be entered

7

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	PRADEEP KUMAR	Non Executive I	0	500,000	0	40,000	540,000
2	SURENDRA KUMA	Non Executive I	0	500,000	0	40,000	540,000
3	VISHWANATH CH	Non Executive I	0	500,000	0	40,000	540,000
4	ANOOP GUPTA	Non Executive I	0	375,000	0	30,000	405,000
5	ABHILASH LAL	Non Executive I	0	375,000	0	30,000	405,000
6	NARAYANAN SUBI	Non Executive I	0	250,000	0	10,000	260,000
7	SEEMA SHARMA	Non Executive N	0	500,000	0	20,000	520,000
	Total		0	3,000,000	0	210,000	3,210,000

**XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES**

\* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year  Yes  No

B. If No, give reasons/observations

**XII. PENALTY AND PUNISHMENT - DETAILS THEREOF**

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES  Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

**XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment**

Yes  No

(In case of 'No', submit the details separately through the method specified in instruction kit)

**XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES**

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

S.K. GUPTA

Whether associate or fellow

Associate  Fellow

Certificate of practice number

1920

**I/We certify that:**

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... 11 dated 31/10/2018

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

SHARAD SHARMA Digitally signed by SHARAD SHARMA Date: 2018.12.24 19:55:18 +05'30'

DIN of the director

00383178

To be digitally signed by

BHARAT KUMAR SAJNANI Digitally signed by BHARAT KUMAR SAJNANI Date: 2018.12.24 19:55:11 +05'30'

- Company Secretary
Company secretary in practice

Membership number 7344

Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

List of attachments

Attach Attach Attach Attach

Details of Committee Meetings.pdf
Note.pdf
List of Equity Share Transfer.pdf
Copy of MGT-8.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

## Details of Meetings of the Committees of the Board of Directors

### C. COMMITTEE MEETINGS

Number of meetings held

24

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1.	Audit Committee Meeting	22/05/2017	6	6	100
2.	Audit Committee Meeting	12/08/2017	6	5	83.33
3.	Audit Committee Meeting	09/11/2017	6	6	100
4.	Audit Committee Meeting	13/02/2018	6	5	83.33
5.	Nomination and Remuneration Committee Meeting	09/11/2017	3	3	100
6.	Nomination and Remuneration Committee Meeting	13/02/2018	3	3	100
7.	Stakeholders Relationship Committee Meeting	09/05/2017	4	4	100
8.	Stakeholders Relationship Committee Meeting	21/07/2017	4	4	100
9.	Stakeholders Relationship Committee Meeting	06/11/2017	4	4	100
10.	Stakeholders Relationship Committee Meeting	24/01/2018	4	3	75
11.	Stakeholders Relationship Committee Meeting	17/03/2018	4	3	75
12.	Corporate Social Responsibility Committee Meeting	22/05/2017	4	4	100
13.	Corporate Social Responsibility Committee Meeting	12/08/2017	4	4	100

For GANESHA ECOSPHERE LTD.

  
(BHARAT KUMAR SAJWAN)  
COMPANY SECRETARY

14.	Corporate Social Responsibility Committee Meeting	13/02/2018	4	3	75
15.	Securities Allotment and Certificate Issuance Committee	09/05/2017	3	2	66.67
16.	Securities Allotment and Certificate Issuance Committee	04/07/2017	3	2	66.67
17.	Securities Allotment and Certificate Issuance Committee	21/07/2017	3	2	66.67
18.	Securities Allotment and Certificate Issuance Committee	26/09/2017	3	2	66.67
19.	Securities Allotment and Certificate Issuance Committee	09/11/2017	3	3	100
20.	Securities Allotment and Certificate Issuance Committee	16/12/2017	3	2	66.67
21.	Management Committee	02/11/2017	4	4	100
22.	Management Committee	23/12/2017	4	3	75
23.	Management Committee	17/03/2018	4	3	75
24.	Capital Raising Committee	14/12/2017	5	2	40

For GANESHA ECOSPHERE LTD.

  
(BHARAT KUMAR SAJWAN)  
COMPANY SECRETARY



# GANESHA ECOSPHERE LTD.

## Note:

Number of Promoters & Members (other than promoters) as at the end of Financial Year 31.03.2018 is disclosed in the Form MGT-7 as per the Register of Members as at that date, **without PAN consolidation**. However, in the shareholding pattern filed to the stock exchanges for the quarter ended 31.03.2018, the same was disclosed **with PAN consolidation** of data as required under the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as given below:

Particulars	As disclosed in the shareholding pattern filed to the stock exchanges	As disclosed in the Form MGT-7
Number of Promoters	16	20
Number of Members (other than promoters)	10712	10864
<b>Total</b>	<b>10728</b>	<b>10884</b>

For GANESHA ECOSPHERE LTD.

  
(BHARAT KUMAR SAJNANI)  
COMPANY SECRETARY

## GANESHA ECOSPHERE LIMITED (List of Transfer for the period 01/04/2017 to 31/03/2018)

Sr.No	Date of Registration of Transfer	Type of Transfer	Number of Shares Transferred	Amount per Share (in Rs.)	Ledger Folio of Transferor	Transferor's Name	Ledger Folio of Transferee	Transferee's Name
		1 - Equity, 2 - Preference Shares, 3 - Debentures, 4 - Stock						
1	07/04/2017		1	200	10 20033	RAVINDRA KAUR	38829	PINKI G. CHAWLA
2	17/04/2017		1	100	10 28495	ANAND KUMAR GUPTA	38830	DAL CHAND BOTHRA
3	17/04/2017		1	200	10 14328	ARJUN DAS	38832	SATISH KUMAR
4	17/04/2017		1	100	10 16312	DHARAM SINGH	38831	HARSHARAN SINGH
5	29/04/2017		1	100	10 2768	VINOD KOHLI	38833	RAVI KUMAR TANEJA
6	29/04/2017		1	100	10 32507	PARAMJIT KAUR	38719	HARJOT SINGH
7	29/04/2017		1	100	10 37903	MANISH GUPTA	38834	BHAGWAN SAHAI
8	29/04/2017		1	100	10 13289	META DINESHPATEL	38835	RAMILABEN J PATEL
9	29/04/2017		1	200	10 10533	SUVARNI N LAXMAIN	38836	NETRANAND V LAXMAIN
10	10/05/2017		1	300	10 5548	MANIK GUPTA	38837	SURESH KUMAR GUPTA
11	13/05/2017		1	200	10 15120	VASANT EKNATHSA KATWA	38838	AKSHAY VASANT KATWA
12	13/05/2017		1	500	10 20261	ANIL KUMAR KUKREJA	38839	JAI RAM DAS
13	13/05/2017		1	100	10 25512	MOOL CHAND CHAWLA	25515	VED PARKASH CHAWLA
14	24/05/2017		1	100	10 15127	VENKATA RAMUDU NAGOTHI	38840	SAMARANJAYA N
15	25/05/2017		1	200	10 32424	SHAMAN KUMAR	38841	SHAMAN JINDAL
16	31/05/2017		1	400	10 35097	J C NAKRA	35096	NANDINI NAKRA
17	31/05/2017		1	100	10 34905	JITENDRA KUMAR	38842	JITENDRA KUMAR GUPTA
18	07/06/2017		1	100	10 6333	PRADIP MITHUBHAI KHARVA	38843	MANOJ MITHUBHAI KHARVA
19	07/06/2017		1	100	10 6331	RAJESH MITHUBHAI KHARVA	38843	MANOJ MITHUBHAI KHARVA
20	07/06/2017		1	400	10 1398	NETAI CHAND DATTA	38844	TAPAS KUMAR DUTT
21	09/06/2017		1	300	10 12435	ARVIND RAMNIKLAL JHAVERI	38845	ARVIND R JHAVERI
22	21/06/2017		1	200	10 16440	NIDHI SRIVASTAVA	38846	SANJEEV KUMAR GUPTA
23	01/07/2017		1	300	10 19117	V K ARORA	38847	ARJUN ARORA
24	04/07/2017		1	1000	10 30896	VASDEV RAMCHANDANI	38848	PUSHPA RAMCHANDANI
25	04/07/2017		1	1000	10 30897	VASDEV RAMCHANDANI	38848	PUSHPA RAMCHANDANI
26	04/07/2017		1	1200	10 19887	RAJENDRA PRATAP SINGH	38849	YADVENDRA PRATAP SINGH
27	08/07/2017		1	200	10 2598	BADAMO DEVI	38850	JAGDISH RAI GUPTA
28	17/07/2017		1	300	10 27248	SUMAN BALI	38851	GOPAL KRISHAN ARORA
29	17/07/2017		1	100	10 7611	VIRAL HARESH KAGRANA	38852	VALLABHDAS H. KAGRANA
30	17/07/2017		1	100	10 2979	NITABEN H KAGRANA	38852	VALLABHDAS H. KAGRANA
31	17/07/2017		1	100	10 9965	KINJAL KAGRANA	38852	VALLABHDAS H. KAGRANA
32	17/07/2017		1	100	10 7613	KAMLESH VALLABHDAS KAGRANA	38852	VALLABHDAS H. KAGRANA

33	17/07/2017	1	100	10	7613	KAMLESH VALLABHADAS KAGRANA	38852	VALLABHDAS H. KAGRANA
34	17/07/2017	1	100	10	7613	KAMLESH VALLABHADAS KAGRANA	38852	VALLABHDAS H. KAGRANA
35	17/07/2017	1	100	10	7612	HARESH VALLABHADAS KAGRANA	38852	VALLABHDAS H. KAGRANA
36	17/07/2017	1	100	10	7612	HARESH VALLABHADAS KAGRANA	38852	VALLABHDAS H. KAGRANA
37	17/07/2017	1	100	10	7612	HARESH VALLABHADAS KAGRANA	38852	VALLABHDAS H. KAGRANA
38	17/07/2017	1	100	10	9966	KAMLESH KAGRANA	38852	VALLABHDAS H. KAGRANA
39	17/07/2017	1	100	10	9966	KAMLESH KAGRANA	38852	VALLABHDAS H. KAGRANA
40	17/07/2017	1	100	10	9966	KAMLESH KAGRANA	38852	VALLABHDAS H. KAGRANA
41	24/07/2017	1	200	10	4676	PRAKASHBHAI NARANBHAI KA PATEL	38853	MITULKUMAR P KACHHIYA PATEL
42	24/07/2017	1	100	10	14252	PRATIBHA TRIVEDI	38854	TRIVEDI PRATIBHA J
43	31/07/2017	1	100	10	2472	LALITA RANI GARG	38856	LALITA GOEL
44	01/08/2017	1	400	10	33967	RAGHU NANDAN GUPTA	38855	RAJENDRA KUMAR JAIN
45	01/08/2017	1	100	10	2290	CHARMY G SHINGALA	38857	JAYESH SHAH
46	01/08/2017	1	200	10	9965	KINJAL KAGRANA	38852	VALLABHDAS H. KAGRANA
47	01/08/2017	1	200	10	2979	NITABEN H KAGRANA	38852	VALLABHDAS H. KAGRANA
48	01/08/2017	1	200	10	7611	VIRAL HARESH KAGRANA	38852	VALLABHDAS H. KAGRANA
49	09/08/2017	1	100	10	6596	RAMESH DHONDIBA SATAV	38858	MANASVI MILIND VEDAK
50	09/08/2017	1	100	10	2596	SANJAY TAYAL	38859	SANJAY TAYAL
51	14/08/2017	1	200	10	33776	DAYANAND SHARMA	38860	TRIVENI SHARMA
52	23/08/2017	1	100	10	25794	JAGDISH MEHTA	38861	ASHA JAGDISHBHAI MEHTA
53	23/08/2017	1	100	10	7537	PRAVIN CHANDRA FULCHAND SHAH	38862	DINESH PRAVINCHANDRA SHAH
54	31/08/2017	1	100	10	27267	RAMESH CHANDER BHATIA	38863	UMESH CHANDER BHATIA
55	08/09/2017	1	200	10	38860	TRIVENI SHARMA	38864	SANJAY SHARMA
56	11/09/2017	1	300	10	34750	SONIA CHRISTINA	38865	SONIA CHRISTINA RAJMOHAN
57	16/09/2017	1	100	10	3878	HARSHADRAY PRABHUDAS PATEL	38866	CHANDRIKABEN BHARATBHAI PATEL
58	11/10/2017	1	100	10	4951	VIPUL Y VADHER	38868	IRABEN BHARATKUMAR MACHCHHAR
59	11/10/2017	1	100	10	30007	OM PRAKASH	38867	SATISH GOGIA
60	23/10/2017	1	200	10	25298	BHAGAT RAM	38869	JAGDISH LAL AGGARWAL
61	23/10/2017	1	100	10	9229	PURSHOTHAM MALANI	38870	SANTOSH MALANI
62	31/10/2017	1	100	10	17674	VIJAYA KUMARI GONUGUNTA	38871	SUBRAHMANYAM GONUGUNTA
63	31/10/2017	1	100	10	34132	VIPUL MANGALDAS SONI	38872	VIPUL MANGALDAS SONI
64	31/10/2017	1	100	10	38861	ASHA JAGDISHBHAI MEHTA	38873	MANISH JAGDISHBHAI MEHTA
65	03/11/2017	1	100	10	3060	HEMLATA DIPAK PARIKH	38874	DIPAKBHAI NATWARLAL PARIKH
66	10/11/2017	1	200	10	14137	RANGANAYAKULU GULUPALYAM	38875	SATYANARAYANA G M
67	14/11/2017	1	200	10	28851	CHIMANLAL KHANNA	38876	DEVENDRA KHANNA
68	16/11/2017	1	100	10	18481	PRADIP OSWAL	38877	ANKIT PRADEEP JAIN
69	16/11/2017	1	300	10	31958	KAVITA GUPTA	38878	KAVITA GUPTA
70	16/11/2017	1	300	10	31959	SANJEEV AGGARWAL	38879	SANJEEV AGGARWAL
71	28/11/2017	1	100	10	34408	BABULAL SAIN	38880	INDRA
72	01/12/2017	1	100	10	10002	PRAVIN KUMAR G BHANDARI	38881	PRAVIN KUMAR G BHANDARI
73	30/12/2017	1	300	10	3536	HEMANT ISHVAR LAL PATEL	38882	HEMANTBHAI ISHVARBHAI PATEL
74	30/12/2017	1	100	10	6742	NIMISHA GAUTAM MODI	38883	NIMISHA GAUTAM MODI
75	30/12/2017	1	200	10	30536	INSEYAH H RAVAT	38884	INSEYAH HABIB RAVAT

76	30/12/2017	1	100	10	30796	NIBEDITA MUKHERJEE	38885	DHANUSH D SURANA
77	13/01/2018	1	100	10	1287	MEMUNABAI KALIMUDDIN	38886	ABEDIN JAFFARJI BENGALI
78	20/01/2018	1	200	10	27760	SUNIL V CHHABRIA	38887	ASHISH SHIVKUMAR JOSHI
79	20/01/2018	1	200	10	19884	VEENA DEVI	38888	MANGTU RAM
80	20/01/2018	1	200	10	8557	VEENA DEVI	38888	MANGTU RAM
81	31/01/2018	1	100	10	3108	VIRENKUMAR N PATEL	38889	NATVERLAL
82	31/01/2018	1	100	10	11680	SHANTILAL MAFATLAL SHETH	38890	NITIKA SHETH
83	31/01/2018	1	100	10	36717	SURENDRA NEHRA	38891	SANJEEV NEHRA
84	02/02/2018	1	100	10	35701	PRIYANKA P SHEWALE	38892	PRIYANKA NITIN GAYAKE
85	07/02/2018	1	100	10	4672	GAMBHIRSINH A SOLANKI	38893	FATEHSINH GAMBHIRSINH SOLANKI
86	16/02/2018	1	100	10	5208	SHOBHA LAL P SHAH	38894	BABULAL PYARELAL SHAH
87	20/02/2018	1	100	10	7089	BHUPENDRA KUMAR JASANI	38895	JAYSHREE S SHETH
88	28/02/2018	1	100	10	12441	REKHA PARMAR	38896	SURESH BHIMRAJ PARMAR
89	28/02/2018	1	200	10	5759	J KAUR	38797	HARKANWAL SINGH
90	08/03/2018	1	200	10	19903	ALKA AGRAWAL	19869	ANISH AGRAWAL
91	14/03/2018	1	500	10	20569	RAMKUMAR AGRAWAL	38898	MANISH MATHUR
92	31/03/2018	1	100	10	25958	UMAID SINGH	38899	UMED SINGH SHEKHAWAT

**FORM NO. MGT- 8**  
**ANNUAL RETURN CERTIFICATE**

[Pursuant to Section 92 (2) of the Companies Act, 2013 and Rule 11 (2) of the  
Companies (Management and Administration) Rules, 2014]

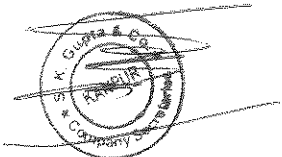
We have examined the registers, records and books and papers of **GANESHA ECOSPHERE LIMITED** (the 'Company') as required to be maintained under the Companies Act, 2013 (the 'Act') and the Rules made there under for the Financial Year ended on 31<sup>st</sup> March, 2018. In our opinion and to the best of our information and according to the examinations carried out by us and explanations furnished to us by the Company, its officers and agents, we certify that:

- A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year, the Company has complied with the provisions of the Act and the Rules made there under in respect of the matters as stated below:
  1. The Company maintained the status of a Listed Public Company within the meaning of Section 2 (71) read with Section 2(52) of the Companies Act, 2013.
  2. The Company has maintained the registers / records as stated in **Annexure 'A'** to this Certificate, as per the provisions of the Act and the Rules made

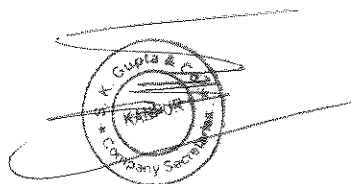


thereunder and the entries therein have been duly recorded within the time prescribed therefor.

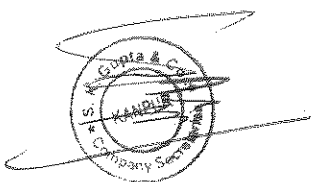
3. The Company has filed Forms and Returns with the Registrar of Companies, Uttar Pradesh, Regional Director, Central Government, the Tribunal, Court or other Authorities under the Act and the Rules made thereunder the details whereof are stated in **Annexure –'B'** to this Certificate.
- 4.(i) The Board of Directors duly met 4 (Four) times respectively on 22<sup>nd</sup> May, 2017, 12<sup>th</sup> August, 2017, 9<sup>th</sup> November, 2017 and 13<sup>th</sup> February, 2018 as stated in the Annual Return and in respect of such meetings proper notices were given and the proceedings were properly recorded in the Minutes Book maintained for the purpose which have been duly signed. No circular resolution was passed during the financial year under review.
- (ii) The Board of Directors has constituted 6 (Six) Committees namely Audit Committee, Nomination & Remuneration Committee, Stakeholders Relationship Committee, Corporate Social Responsibility Committee, Management Committee and Capital Raising Committee (constituted on 9<sup>th</sup> November, 2017) pursuant to provisions of the Companies Act, 2013 read with Rules made thereunder and the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015. During the year under review, the Securities Allotment and Certificate Issuance Committee was dissolved on 13<sup>th</sup> February, 2018.



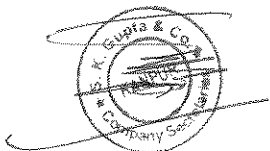
- (iii) The Audit Committee of the Board met 4 (Four) times respectively on 22<sup>nd</sup> May, 2017, 12<sup>th</sup> August, 2017, 9<sup>th</sup> November, 2017 and 13<sup>th</sup> February, 2018 as stated in the Annual Return and in respect of such meetings proper notices were given and the proceedings thereof were properly recorded in the Minutes Book maintained for the purpose which have been duly signed.
- (iv) The Nomination & Remuneration Committee of the Board met 2 (Two) times respectively on 9<sup>th</sup> November, 2017 and 13<sup>th</sup> February, 2018 as stated in the Annual Return and in respect of such meetings proper notices were given and the proceedings thereof were properly recorded in the Minutes Book maintained for the purpose which have been duly signed.
- (v) Stakeholders Relationship Committee of the Board met 5 (Five) times respectively on 9<sup>th</sup> May, 2017, 21<sup>st</sup> July, 2017, 6<sup>th</sup> November, 2017, 24<sup>th</sup> January, 2018 and 17<sup>th</sup> March, 2018 as stated in the Annual Return and in respect of such meetings proper notices were given and the proceedings thereof were properly recorded in the Minutes Book maintained for the purpose which have been duly signed.
- (vi) The Securities Allotment and Certificate Issuance Committee of the Board met 6 (Six) times respectively on 9<sup>th</sup> May, 2017, 4<sup>th</sup> July, 2017, 21<sup>st</sup> July, 2017, 26<sup>th</sup> September, 2017, 9<sup>th</sup> November, 2017 and 16<sup>th</sup> December, 2017 as stated in the Annual Return and in respect of such meetings proper notices were given and the proceedings thereof were properly recorded in the Minutes Book maintained for the purpose which have been duly signed including the circular resolution passed by the Committee.



- (vii) The Corporate Social Responsibility Committee of the Board met 3 (Three) times respectively on 22<sup>nd</sup> May, 2017, 12<sup>th</sup> August, 2017 and 13<sup>th</sup> February, 2018 as stated in the Annual Return and in respect of such meetings proper notices were given and the proceedings thereof were properly recorded in the Minutes Book maintained for the purpose which have been duly signed.
- (viii) The Management Committee of the Board met 3 (Three) times respectively on 2<sup>nd</sup> November, 2017, 23<sup>rd</sup> December, 2017 and 17<sup>th</sup> March, 2018 as stated in the Annual Return and in respect of such meetings proper notices were given and the proceedings thereof were properly recorded in the Minutes Book maintained for the purpose which have been duly signed.
- (ix) The Capital Raising Committee of the Board constituted on 9<sup>th</sup> November, 2017 met only once on 14<sup>th</sup> December, 2017 and in respect of such meeting proper notice was given and the proceedings thereof was properly recorded in the Minutes Book maintained for the purpose which have been duly signed.
- (x) The Annual General Meeting for the Financial year ended on 31<sup>st</sup> March, 2017 was held on 25<sup>th</sup> September, 2017 after giving due notice to the Members of the Company and the proceedings thereof were properly recorded in the Minutes Book maintained for the purpose which have been duly signed. However, no resolution was passed by Postal Ballot during the financial year. No Extraordinary General Meeting was held during the year under review.



5. The Company has closed its Register of Members from 19<sup>th</sup> September, 2017 to 25<sup>th</sup> September, 2017 and necessary compliance of provisions of Section 91 of the Companies Act, 2013 has been made.
6. The Company has not given advances / loans to its Directors or persons or Firms or Companies referred to under Section 185 of the Act.
7. The Company has duly complied with the provisions of Section 188 of the Act in respect of contracts / arrangements with related parties specified in that section.
8. The Company has:
  - (i) not issued any shares, debentures or other securities during the financial year.
  - (ii) delivered all the Certificates on lodgement thereof for Transfer and Transmission of Securities during the financial year under scrutiny. However, there was no allotment of securities during the financial year.
  - (iii) not bought back any shares or other securities during the financial year;
  - (iv) not redeemed any Preference Shares / Debentures during the Financial year;
  - (v) has not altered or reduced its share capital and has not converted its shares / securities during the financial year.

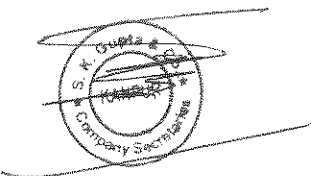


9. There were no transactions necessitating the Company to keep in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares.

10. The Company has:

- (i) declared Dividend @ 12% on Equity Shares of Rs. 10/- each during the year under scrutiny;
- (ii) deposited the amount of Dividend declared in a separate Bank Account within the period of five days from the date of declaration of dividend.
- (iii) paid / posted warrants for dividend to all the Members within the prescribed period of thirty days from the date of declaration of dividend and that all unpaid / unclaimed dividend requiring transfer to Unpaid Dividend Account of the Company has been kept in a separate Bank Account maintained with ICICI Bank Limited.
- (iv) Transferred the amount of dividend which has remained unclaimed or unpaid for a period of seven years to Investor Education and Protection Fund in accordance with Section 125 of the Companies Act, 2013 during the Financial year under review.

11. The Financial Statements of the Company for the Financial year ended 31st March, 2018 have been signed in accordance with the provisions of Section 134(1) of the Companies Act, 2013 and the Report of Board of Directors of the Company

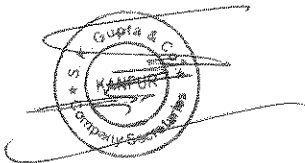


has been prepared in compliance with the provisions of sub - sections (3) and (5) of Section 134 of the said Act.

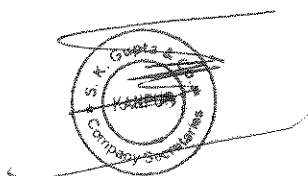
12. (i) The Board of Directors of the Company has been duly constituted. There was no appointment of Additional Directors, Alternate Directors and Directors to fill casual vacancy during the financial year. However, the re-appointment of a retiring Director at the Annual General Meeting has been made in compliance with the provisions of the Act. The Directors have made requisite disclosures as required under the Act and the Rules framed thereunder.

(ii) There was no appointment of Key Managerial Personnel under the provisions of Section 203 of the Act read with Rule 8 of the Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014 during the year under review.

13. The Company has made appointment of Statutory Auditors at the 28<sup>th</sup> Annual General Meeting of the Company held on 25<sup>th</sup> September, 2017 to hold office for a period of five years from the conclusion of 28<sup>th</sup> Annual General Meeting until the conclusion of the 33<sup>rd</sup> Annual General Meeting of the Company subject to ratification of their appointment by the members at every Annual General Meeting of the Company in compliance with the provisions of Section 139 of the Act read with the Companies (Audit and Auditors) Rules, 2014.



14. The Company was not required to obtain any approvals of the Company Law Board / Tribunal, Central Government, Regional Director, Registrar or such other Authorities prescribed under various provisions of the Companies Act, 2013 during the financial year.
15. The Company has not accepted or renewed any deposits falling within the purview of Section 73 and 76 of the Act read with the Companies (Acceptance of Deposits) Rules, 2014 during the financial year.
16. The amount borrowed by the Company from Directors, Members, Public Financial Institutions, Banks and others during the Financial year is within the borrowing limits of the Company as approved by the special resolution passed by Members under Section 180(1)(c) of the Act at the Annual General Meeting held on 29<sup>th</sup> September, 2014. There were creation, modification and satisfaction of charges during the financial year and the particulars of creation, modification and satisfaction of charges were filed in compliance with the provisions of the Act.
17. The Company has not made any investments, given loans and guarantees or provided securities to other Bodies Corporate or persons falling under the provisions of Section 186 of the Act during the financial year under review.
18. Except for alteration in Capital Clause of the Memorandum of Association of the Company whereby the existing Authorised Share Capital of the Company of Rs. 55,50,00,000/- comprising of 2,40,00,000 Equity Shares of Rs. 10/- each and 31,50,000 Preference Shares of Rs. 100/- each was re-classified into 3,40,00,000

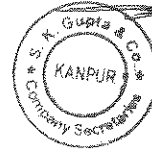


**S. K. Gupta & Co.**  
Company Secretaries

9, Roland Complex  
Upper Floor, 37/17  
Westcott Building,  
The Mall,  
Kanpur-208001  
Telefax :0512- 2315123  
Cell - 9415042137  
E-mail:sk\_gupta1@rediffmail.com

Equity Shares of Rs. 10/- each and 21,50,000 Preference Shares of Rs. 100/- each aggregating to Rs. 55,50,00,000/- with the approval of Shareholders at the Annual General Meeting held on 25<sup>th</sup> September, 2017, there was no alteration in the Memorandum and Articles of Association of the Company during the financial year under review.

For **S.K.Gupta & Co.**  
Company Secretaries



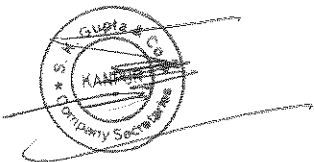
(S.K.GUPTA)  
Managing Partner  
F.C.S - 2589  
C.P.No.-1920

Place: Kanpur  
Date: 12<sup>th</sup> November, 2018

**ANNEXURE 'A'**

**Registers as maintained by the Company**

1. Register of Members u/s 88 of the Companies Act, 2013 read with Rule 3 of the Companies (Management and Administration) Rules, 2014.
2. Register of Directors and Key Managerial Personnel and their Shareholding u/s 170 of the Companies Act, 2013 read with Rule 17 of the Companies (Appointment and Qualification of Directors) Rules, 2014.
3. Register of Contracts or Arrangements in which Directors are interested u/s 189 of the Companies Act, 2013 read with Rule 16 of the Companies (Meetings of Board and its Powers) Rules, 2014.
4. Minutes of the proceedings of the General Meetings, Board Meetings and Committee Meetings u/s 118 of the Companies Act, 2013 read with Rule 25 of the Companies (Management and Administration) Rules, 2014.
5. Register of Charges u/s 85 of the Companies Act, 2013 read with Rule 10 of the Companies (Registration of Charges) Rules, 2014.
6. Attendance Register for the Meetings of the Board of Directors and Committees thereof.
7. Attendance Register for the Meetings of the Shareholders.
8. Register of Share Transfers.
9. Register of Share Allotment.
10. Register of Duplicate / Split Share Certificates.

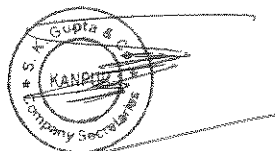


**ANNEXURE 'B'**

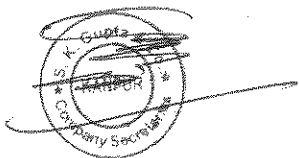
**Forms and Returns as filed by the Company during the financial year ended 31<sup>st</sup>  
March, 2018**

- With Registrar of Companies, Uttar Pradesh

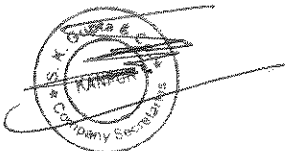
Sl. No.	Forms	Purpose	Date of Actual filing	Whether filed in time or not Yes/ No
1.	Form No. CHG 4 (Satisfaction of Charge)	U/s 82 of the Companies Act, 2013 read with Rule 8 of the Companies (Registration of Charges) Rules, 2014 dt 06.04.2017	21.04.2017	Yes
2.	Form No. CHG 4 (Satisfaction of Charge)	U/s 82 of the Companies Act, 2013 read with Rule 8 of the Companies (Registration of Charges) Rules, 2014 dt 06.04.2017	21.04.2017	Yes
3.	Form No. IEPF 6 (Statement of unclaimed amounts to be transferred to IEPF)	U/s 125 of the Companies Act, 2013 read with Rule 8 of the Investor Education and Protection Fund Authority (Accounting, Audit, transfer and	26.04.2017	Yes



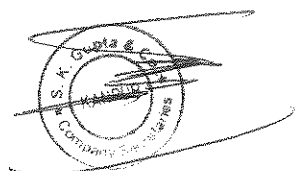
		Refund) Rules, 2016 dt.31.03.2017		
4.	Form No. CHG 1 (Creation of Charge)	U/s 77/79 of the Companies Act, 2013 read with Rule 3(1) of the Companies (Registration of Charges) Rules, 2014 dt. 29.03.2017	28.04.2017	Yes
5.	Form No. CHG 4 (Satisfaction of Charge)	U/s 82 of the Companies Act, 2013 read with Rule 8 of the Companies (Registration of Charges) Rules, 2014 dt 13.04.2017	29.04.2017	Yes
6.	Form No. CHG 4 (Satisfaction of Charge)	U/s 82 of the Companies Act, 2013 read with Rule 8 of the Companies (Registration of Charges) Rules, 2014 dt 31.03.2017	29.04.2017	Yes
7.	Form No. CHG 4 (Satisfaction of Charge)	U/s 82 of the Companies Act, 2013 read with Rule 8 of the Companies (Registration of Charges) Rules, 2014 dt 31.03.2017	29.04.2017	Yes



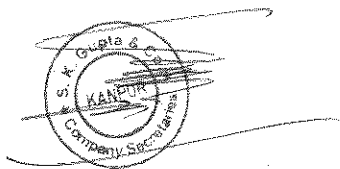
8.	Form No. CHG 4 (Satisfaction of Charge)	U/s 82 of the Companies Act, 2013 read with Rule 8 of the Companies (Registration of Charges) Rules, 2014 dt 31.03.2017	29.04.2017	Yes
9.	Form No. CHG 4 (Satisfaction of Charge)	U/s 82 of the Companies Act, 2013 read with Rule 8 of the Companies (Registration of Charges) Rules, 2014 dt 31.03.2017	29.04.2017	Yes
10.	Form No. MGT 14 (Registration of Board Resolution for: • Approval of Audited Financial Statements for Financial Year 2016-17 • Borrowing and availing of credit facilities from 'SBI Consortium'.)	U/s 179(3) of the Companies Act, 2013 dt. 22.05.2017.	19.06.2017	Yes



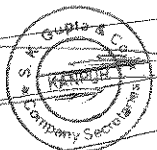
11.	Form No. CHG 1 (Modification of Charge)	U/s 77/79 of the Companies Act, 2013 read with Rule 3(1) of the Companies (Registration of Charges) Rules, 2014 dt. 22.05.2017	20.06.2017	Yes
12.	Form No. MGT 14 (Registration of Board Resolution for: • Approval of Board Report for the Financial Year 2016-17.)	U/s 179(3) of the Companies Act, 2013 dt. 12.08.2017.	08.09.2017	Yes
13.	Form No. ADT 1 (Intimation of appointment of Statutory Auditor of the Company.)	U/s 139 (1) of the Companies Act, 2013 read with Rule 4(2) of the Companies (Audit and Auditors) Rules, 2014 dt. 25.09.2017.	06.10.2017	Yes
14.	Form MGT 15 (Report on Annual General Meeting)	U/s 121(1) of the Companies Act, 2013 read with Rule 31(2) of the Companies (Management and Administration) Rules,	10.10.2017	Yes



		2014 dt. 25.09.2017		
15.	Form No. SH 7 (Reclassification of Authorised Share Capital of the Company)	U/s 64 of the Companies Act, 2013 read with Rule 15 of the Companies (Share Capital and Debenture) Rules, 2014 dt. 25.09.2017	24.10.2017	Yes
16.	Form No. MGT 14 Registration of Board (Resolution for: <ul style="list-style-type: none"> <li>• Payment of remuneration to Non-Executive Directors of the Company (other than Managing Director or Whole-time Director) by way of Commission upto 1% of Net Profits of the Company.</li> <li>• Reclassification of Authorised Share Capital of the</li> </ul>	U/s 197, 61 13, 23, 41, 42, 62 and 71 of the Companies Act, 2013 dt. 25.09.2017.	24.10.2017	Yes



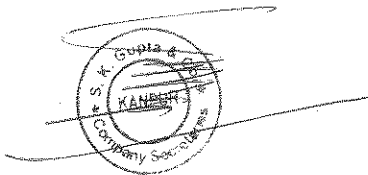
	<p>Company</p> <ul style="list-style-type: none"><li>• Alteration in Capital Clause in the Memorandum of Association of the Company</li><li>• Further issue of securities through Qualified Institutions Placement ("QIP") or any combination thereof, for an aggregate amount not exceeding Rs. 150.00 Crores.)</li></ul>			
17.	Form No. MGT 7 (Annual Return)	U/s 92 of the Companies Act, 2013 read with Rule 11 of the Companies (Management and Administration) Rules, 2014 dt. 31.03.2017	16.11.2017	Yes



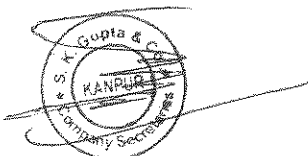
18.	Form No. MGT 14 (Registration of Board Resolution for: <ul style="list-style-type: none"><li>Availing credit facilities in form of Letter of Credit from Yes Bank Ltd.)</li></ul> )	U/s 179(3) of the Companies Act, 2013 dt. 09.11.2017.	05.12.2017	Yes
19.	Form No. IEPF 1 (Statement of amounts credited to Investor Education and Protection Fund)	U/s 125 of the Companies Act, 2013 read with Rule 5(4) of the Investor Education and Protection Fund Authority (Accounting, Audit, transfer and Refund) Rules, 2016 dt. 07.11.2017	05.12.2017	Yes
20.	Form No. IEPF 4 (Statement of 3,86,952 Shares transferred to IEPF)	Under Rule 6(5) of the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016	19.12.2017	Yes



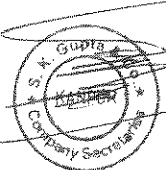
21.	Form No. IEPF 4 (Statement of 51,153 Shares transferred to IEPF)	Under Rule 6(5) of the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016	19.12.2017	Yes
22.	Form No. MGT 14 (Resolutions passed by Management Committee of the Board:- • to obtain interest free loan from Pradeshiya Industrial& Investment Corporation of Uttar Pradesh Ltd. • Bank Gurantee from Yes Bank.)	U/s 179(3) of the Companies Act, 2013 dt. 02.11.2017.	19.12.2017	No
23.	Form No. AOC-4 XBRL (Financial Statement and other documents)	U/s Section 137 of the Companies Act, 2013 read with Rule 12 (2) of Companies (Accounts) Rules, 2014dt. 31.03.2017	23.12.2017	No



24.	Form No. IEPF 2 (Statement of unclaimed and unpaid amounts)	Under Rule 5(8) of the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 dt. 25.09.2017	24.12.2017	Yes
25.	Form No. MGT. 14 (Resolutions passed by Management Committee of the Board:- <ul style="list-style-type: none"><li>• to undertake and enter into foreign exchange transactions and to transact . forward contracts products with Yes Bank Ltd.</li><li>• to avail credit facilities from Yes Bank Ltd. in the form of working capital loan, cash credit, letter of credit.)</li></ul>	U/s 179(3) of the Companies Act, 2013 dt. 23.12.2017.	18.01.2018	Yes



26.	Form No. CHG 1 (Creation of Charge)	U/s 77/79 of the Companies Act, 2013 read with Rule 3(1) of the Companies (Registration of Charges) Rules, 2014 dt. 28.12.2017	27.01.2018	Yes
27.	Form No. CHG 4 (Satisfaction of Charge)	U/s 82 of the Companies Act, 2013 read with Rule 8 of the Companies (Registration of Charges) Rules, 2014 dt 15.01.2018	27.01.2018	Yes
28.	Form No. CHG 4 (Satisfaction of Charge)	U/s 82 of the Companies Act, 2013 read with Rule 8 of the Companies (Registration of Charges) Rules, 2014 dt 15.01.2018	27.01.2018	Yes
29.	Form No. CHG 4 (Satisfaction of Charge)	U/s 82 of the Companies Act, 2013 read with Rule 8 of the Companies (Registration of Charges) Rules, 2014 dt 08.02.2018	07.03.2018	Yes



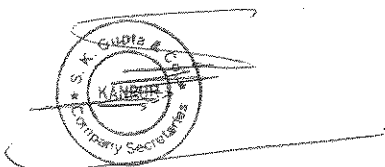
30.	Form No. MGT 14 (Registration of Board Resolution for: • Appointment of Internal Auditors for the financial year 2018-19 • Appointment of Secretarial Auditor for the Financial year 2017-18.)	U/s 179(3) of the Companies Act, 2013 dt. 13.02.2018.	10.03.2018	Yes
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• **With Regional Director**

NIL

• **With Central Government or other Authorities**

Sl. No.	Forms	Purpose	Date of Actual filing	Whether filed in time or not Yes/ No
1.	Form CRA-2 (Intimation of Appointment of Cost Auditor for the F.Y. 2017-18)	U/s 148(3) of the Companies Act, 2013 and Rule 6 of the Companies (Cost Records and Audit) Rules, 2014 dt. 22.05.2017	19.06.2017	Yes



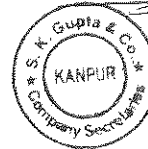
**S. K. Gupta & Co.**  
Company Secretaries

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Westcott Building,  
The Mall,  
Kanpur-208001  
Telefax :0512- 2315123  
Cell - 9415042137

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2.	Form CRA 4 (Cost Audit Report)	U/s 148(6) of the Companies Act, 2013 and Rule 6 of the Companies (Cost Records and Audit) Rules, 2014 dt.27.09.2017	26.10.2017	Yes
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For **S.K. Gupta & Co.**  
Company Secretaries



(S.K.GUPTA)  
Managing Partner  
F.C.S - 2589  
C.P.No.-1920

Place: Kanpur  
Date: 12<sup>th</sup> November, 2018